

AMENDMENT TRANSMITTAL LETTER

Docket No.
0630/0H401US0

Application No.
09/770,107

Filing Date
January 24, 2001

Examiner
Cynthia B. Wilder

Art Unit
1637

Applicant(s): Joanne M. Meyer, et al.

Invention: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF NEUROPSYCHIATRIC DISORDERS, INCLUDING SCHIZOPHRENIA

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate
Total Claims	52	- 53 =		x
Independent Claims	6	- 6 =		x
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				
Other fee (please specify):				
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:				0.00

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-0100
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Samuel S. Woodley
Samuel S. Woodley, Ph.D.
Attorney Reg. No.: 43,287

Dated: July 11, 2003

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
(212) 527-7665

Express Mail Label No.

Dated: 7/11/03

634006578000

RECEIVED
JUL 24 2003
TECH CENTER 1600/2900



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,110.00

Complete if Known

Application Number	09/770,107
Filing Date	January 24, 2001
First Named Inventor	Joanne M. Meyer
Examiner Name	Cynthia B. Wilder
Art Unit	1637
Attorney Docket No.	0630/0H401US0

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account

Deposit Account Number

04-0100

Deposit Account Name

Darby & Darby P.C.

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930.00
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1,110.00

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims 53	-20** =	18.00	
Independent Claims 6	-3** =	84.00	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

SUBMITTED BY

Name (Print/Type) Samuel S. Woodley, Ph.D.

Registration No (Attorney/Agent)

43,287

Complete (if applicable)

Telephone (212) 527-7610

Signature

Samuel S. Woodley

Date

July 10, 2003

EV34006578

Express Mail Label No.

Dated: 7/11/03

JUL 11 2003

EXPRESS MAIL CERTIFICATE

Date **7/11/03** Label No. **EV 340065780US**
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Name (Print)

Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04 - 0100

RECEIVED
JUL 24 2003
TECH CENTER 1600/2900

Customer No.: **07278**

Attorney Docket No: 0630/0H401

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joanne M. MEYER; Rory BARRINGTON-MARTIN; Alexander PARKER; Glenn T. BARNES

Serial No.: 09/770,107

Group Art Unit: 1637

Filed: January 24, 2001

Examiner: Cynthia B. Wilder

For: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF NEUROPSYCHIATRIC DISORDERS, INCLUDING SCHIZOPRENIA

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION AND
AMENDMENT UNDER 37 CFR § 1.111

Sir:

In response to the Office Action of **January 13, 2003** for this matter and in accordance with Rule 111 of the Rules of Practice, please enter the following amendments and consider the accompanying remarks.

Serial No. 09/770,107
Response to Office Action

Docket No. 0630/0H401

Applicants also submit herewith: (1) a Petition for Extension of Time, requesting that the time period for responding to the Office Action be extended for a period of three months from **April 13, 2003** up to and including **Monday July 14, 2003** and accompanied by the appropriate Extension Fee; and (2) an Information Disclosure Statement Under 37 C.F.R. § 1.56 and § 1.97, including Form PTO/SB/08a/b (with copies of the references cited therein) and accompanied by the appropriate fee. It is believed that no additional fees are required for this response. However, should the U.S. Patent and Trademark Office determine that any additional fee is required or that a refund is owed for this application, please charge the required fee(s) and/or credit the refund(s) owed to our Deposit Account No. 04-0100.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this document.

Remarks/Arguments begin on page 9 of this document.